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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Gary Hallmark, et al.

Serial No.: 09/757,399

Filed on: January 5, 2001

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Confirmation No.: 4042

Examiner: Ali, Mohammad

Group Art Unit No.: 2166

For: METHOD AND APPARATUS FOR IMPLEMENTING PARALLEL OPERATIONS IN  
A DATABASE MANAGEMENT SYSTEM

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is at least one copy of Form PTO/SB/08 (A or B) together with copies of the documents cited on that form, if needed. Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted

under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

08/03/2007 TNGUYEN2 00000060 09757399

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☐

37 C.F.R. §1.97(b). It is respectfully requested that the cited documents be considered and that each enclosed Form PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned.

☒

37 C.F.R. §1.97(c). If so, then this Information Disclosure Statement includes one of the following:

☐

A statement pursuant to 37 C.F.R. §1.97(e)

☐ 1.97(e)(1) The undersigned hereby states that each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.

☐ 1.97(e)(2) The undersigned hereby states that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement.



A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

It is respectfully requested that the cited documents be considered and that each enclosed Form PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned.

☐ 37 C.F.R. §1.97(d). If so, then this Information Disclosure Statement includes the following:

☐ A statement pursuant to 37 C.F.R. §1.97(e)

☐ 1.97(e)(1) The undersigned hereby states that each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement; OR

☐ 1.97(e)(2) The undersigned hereby states that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement.

AND

☐ A check for \$180.00 for the fee under 37 C.F.R. §1.17(i) for submission of the Information Disclosure Statement.

It is respectfully requested that the cited documents be considered and that each enclosed Form PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned.

☐ 37 C.F.R. §1.97(i). The undersigned is submitting references to satisfy disclosure obligations in hopes that the references will be considered by the Examiner. Although the submission does not fully meet 37 C.F.R. §1.97, it is respectfully requested that the cited documents be considered and that each enclosed Form PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned. It is understood that if the Examiner does not consider the cited references, the cited documents will be placed in the file pursuant to 37 C.F.R. §1.97(i).

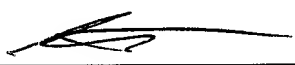
Accordingly, copies of the references as listed on each enclosed Form PTO/SB/08 are submitted herewith, if needed. No certification or fees are deemed necessary.

Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302.

Respectfully submitted,

HICKMAN PALERMO TRUONG & BECKER LLP

Dated: 25, 2007

  
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Brian D. Hickman  
Reg. No. 35,894

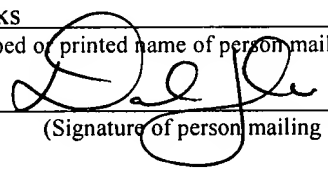
2055 Gateway Place, Suite 550  
San Jose, California 95110-1089  
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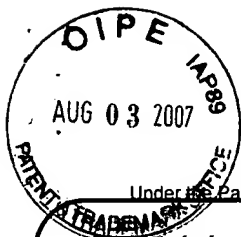
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PTO/SB/08B (07-05)

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	09/757,399
Filing Date	January 5, 2001
First Named Inventor	Gary Hallmark
Art Unit	2166
Examiner Name	Ali, Mohammad
Attorney Docket Number	50277-1646

Sheet 1

of

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**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		GERMAN PATENT AND TRADEMARK OFFICE, "Attorney/Client Communication Privileged and Confidential", translation attached, Application No. P4497320.9, dated April 25, 2007, 18 pages.	
		CLAIMS, App. No. PCT/US94/10092, 4 pages.	
		RAHM, ERHARD, et al., "Analysis of Dynamic Load Balancing Strategies for Parallel Shared Nothing Database Systems", Proceedings of the 19 <sup>th</sup> VLDB Conference, 1993, printed April 25, 2007, pp. 182- 193.	

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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